



CSD ACCOUNT NUMBER

MADISON STOCK BROKING APPLICATION FORM

1. INVESTOR DETAILS (Please complete using CAPITALS and Tick where appropriate)

Individual Account Joint Company *Please tick the appropriate box*

Client Category (Tick as applicable) Local Individual **LI** Local Company **LC** Foreign Individual **FI** Foreign Company **FC**

Surname/Organisation Title: Mr/ Mrs/ Miss/ Prof

First Name(s) Gender: Male/ Female

Postal Address (Employer address)

 Residential Address

Telephone (W) Telephone (H)

Cellphone E-mail

Preferred Method Of Communication: Postal E-mail

Date of Birth/ Incorporation ID/Passport Number

2. NEXT OF KIN

Surname/Organisation Title: Mr/Mrs/Miss/Or/Prof

First Name(s) Gender: Male/ Female

Postal Address (Employer address)

 Residential Address

Telephone (W) Telephone (H)

Cellphone E-mail

Preferred Method Of Communication: Postal E-mail

ID/Passport number

3. SIGNING MANDATE

Please sign and append your name in panel A,B or C and Specify the signing mandate by indicating only 'A' to sign or A& B

Panel A:

Panel B:

Panel C:

Signing mandate:

4. DECLARATION

I warrant that I have read this form and I have full power and authority to enter and conclude this transaction, with the necessary assistance where such assistance is a legal requirement. I further authorise Madison Asset Management Company Ltd. to accept instructions from me via facsimile or email.

Date

Signature of Investor

7. Sales Consultant Details

Full Name	<input type="text"/>
Team Number	<input type="text"/>
Madison Code	<input type="text"/>
Branch Name	<input type="text"/>

I confirm that the Sales Consultant above is my appointed financial adviser.

Signature of investor _____

Date

Sales Consultant Declaration

- 1. I have made the disclosures required, in terms of the Securities Act Chapter 354 or any modification or re-enactment thereof, to the investor.
- 2. I have explained all fees that relate to this investment to the investor. I understand and accept that the investor may write to Madsion to cancel my services rendered to them if they are not satisfied with my performance.

Sales Consultant KYC Declaration

- 1. I have identified all applicable parties to this transaction and verified their details under the requirements of the Securities Act in order to enforce compliance. I keep these parties' records as is required in terms of Securities Act.

Yes No

- 2. I understand that I am primarily accountable under the securities Act.
- 3. I understand that if I have selected 'No', Madison will be responsible for the primary 'Know Your Client' requirements of The Act and that the application will be delayed until Madison receives these documents.

Signature of Sales Consultant _____

Date

6. BANKING DETAILS (Investor bank account details (for all income/ withdrawal payment instructions))

Bank Details (for dividend payments, kindly provide your banking details below)

BANK NAME	<input type="text"/>
ACCOUNT NUMBER	<input type="text"/>
SORT CODE	<input type="text"/>
BRANCH	<input type="text"/>
TOWN	<input type="text"/>

TERMS AND CONDITIONS

1. FEES

A charge of 1.375% is applied on all trades.

2. BUY/ SELL

Trading of shares is dependent on having a willing buyer/ seller at the prevailing price.

3. STATEMENTS

Statements will be sent quarterly by email, or by post where an email address is not given.

4. MODE OF PAYMENT

Investments can be made by cheque, Bank Transfer, or Direct Debit.

5. ASSIGNMENTS

This account may be assigned. However, no assignment shall be binding on the company until written notice is delivered to the company.

6. INVESTOR DECLARATION

I (state full names),of
.....(State address) hereby confirm that I have

the capacity to conclude this application. I acknowledge that

I confirm that all information provided in this form and other documents signed by me in connection with this application, whether in my handwriting or not is correct.

I am responsible for the accuracy and completeness of all answers, statements or other information provided by me or on my behalf.

I confirm that MAMCo may accept instructions from my financial adviser or any authorized third party only if appointed and authorized by me in writing.

I confirm that MAMCo may accept signed instructions by facsimile or via other electronic means.

I confirm that my appointed financial adviser will have access to my investment details via a secure website.

I have read, understood and agree to the terms and conditions for all Madison Unit Trust Accounts.

7. It is further important to note that the value of the investment is subject to market conditions and therefore may rise and fall. Madison Asset Management Company does not guarantee a return on your investment unless otherwise specified in writing.

Attachments: 2 passport sized photos, a copy of your ID (Certified), Proof of residence (Recent Utility Bill), Recent pay slip/bank statement.

Signature of Investor (1)..... Signature of Investor (2)

Date Date

Madison Stock Broking – Bank Account Details

Account Name : Madison Asset Management Company Ltd
Account Number : 0140035734803
BIC (SWIFT) : SBICZMLX
Bank Name : Stanbic Bank Zambia
Branch Name : Lusaka Main

Our range of unit trust products includes the following;

- Retail Bond Fund - This fund invests in auctioned Government Bonds with a fixed rate of return for the investor for a fixed investment period
- First Quantum Minerals (FQM fund) - This fund invests in The First quantum Mineral Company Shares
- Money Market Fund - This fund invests in Government bonds and treasury bills
- Micro Finance Fund - This fund invests in commercial paper and other interest bearing financial instruments
- Property Fund - This fund invests in high valued property on the real estate market
- Global Fund - A dollar denominated fund that invests in US Dollar denominated instruments
- Children's Education Investment Fund - This fund is an investment vehicle to meet future education expenses from primary education to college or university.
- Equity Fund –This fund primarily invests in shares of companies listed on the Lusaka Stock Exchange and a minimal portion in fixed income assets.

For further information on our products and services please visit our website www.madisonassets.co.zm

We would like to take this opportunity to congratulate you for making the wise choice of investing with Madison Unit trust.

At Madison unit trust we believe that we can add real value to the management of your funds to help you save for the future and provide sound advice to help you meet your personal financial objectives.